

On Basing Private Information Retrieval on NP-Hardness

Tianren Liu¹ Vinod Vaikuntanathan¹

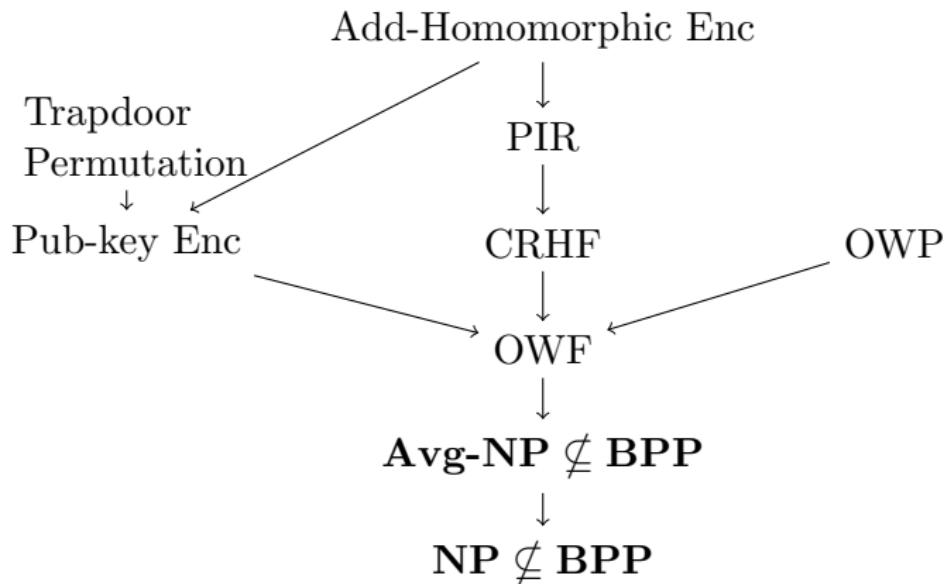


¹MIT

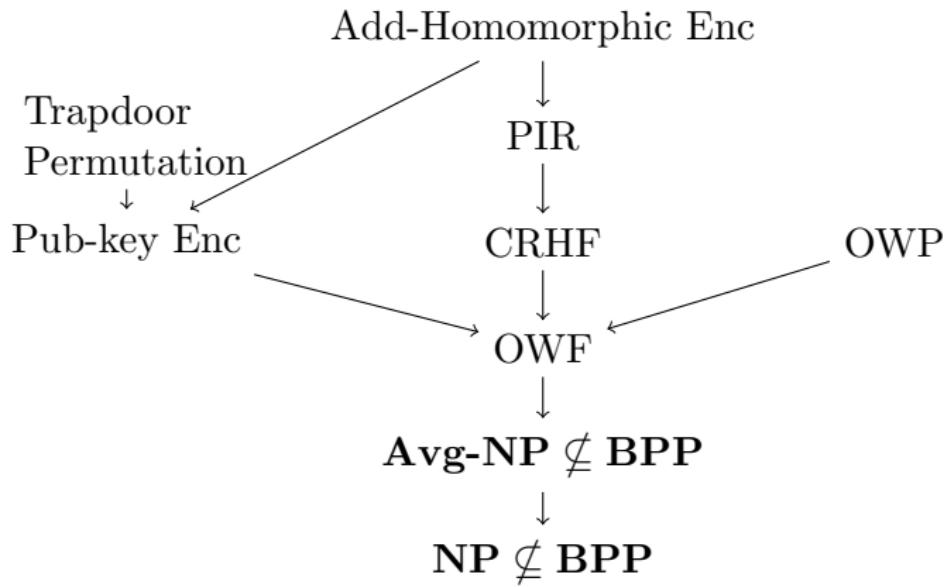
liutr@mit.edu, vinodv@csail.mit.edu

Thirteenth IACR Theory of Cryptography Conference

Assumptions and Primitives in Cryptography



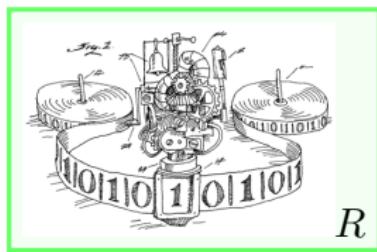
Assumptions and Primitives in Cryptography



Can we prove the security of a cryptographic primitive from the minimal assumption $\text{NP} \not\subseteq \text{BPP}$?
(Brassard 1979)

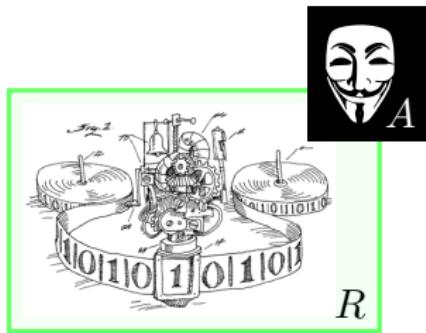
(Black-box) Security Proofs

To prove the security of X based on $\mathbf{NP} \not\subseteq \mathbf{BPP}$, find a (p.p.t.) reduction R s.t. for any oracle A that “breaks the security of X ”, R^A solves SAT



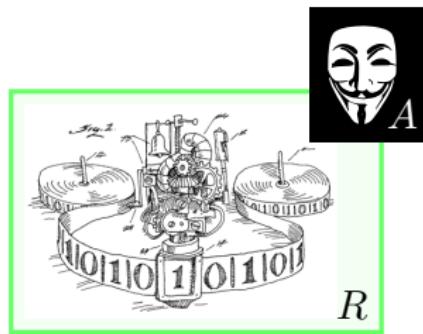
(Black-box) Security Proofs

To prove the security of X based on $\mathbf{NP} \not\subseteq \mathbf{BPP}$, find a (p.p.t.) reduction R s.t. for any oracle A that “breaks the security of X ”, R^A solves SAT



(Black-box) Security Proofs

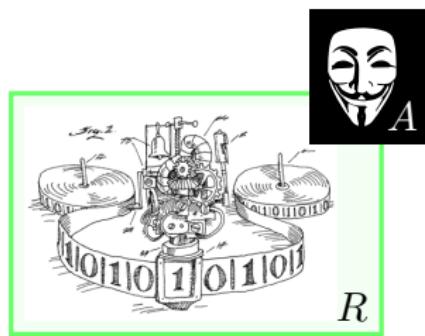
To prove the security of X based on $\mathbf{NP} \not\subseteq \mathbf{BPP}$, find a (p.p.t.) reduction R s.t. for any oracle A that “breaks the security of X ”, R^A solves SAT



$$(x) \begin{cases} \text{accepts w.p. } \geq 2/3, & \text{if } x \in \text{SAT} \\ \text{accepts w.p. } \leq 1/3, & \text{if } x \notin \text{SAT} \end{cases}$$

(Black-box) Security Proofs

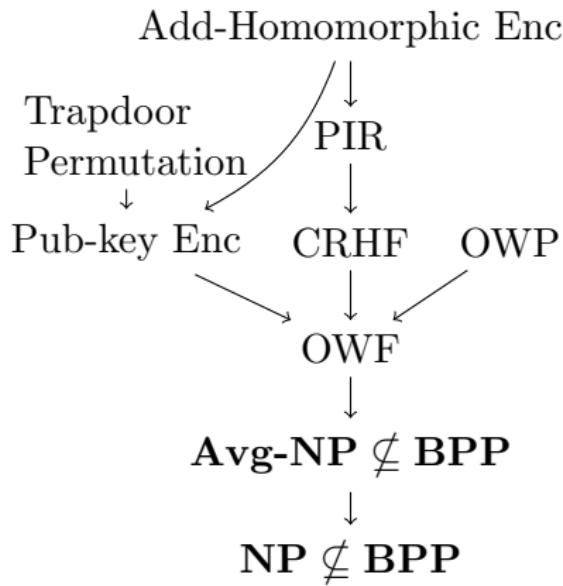
To prove the security of X based on $\mathbf{NP} \not\subseteq \mathbf{BPP}$, find a (p.p.t.) reduction R s.t. for any oracle A that “breaks the security of X ”, R^A solves SAT



$$(x) \begin{cases} \text{accepts w.p. } \geq 2/3, & \text{if } x \in \text{SAT} \\ \text{accepts w.p. } \leq 1/3, & \text{if } x \notin \text{SAT} \end{cases}$$

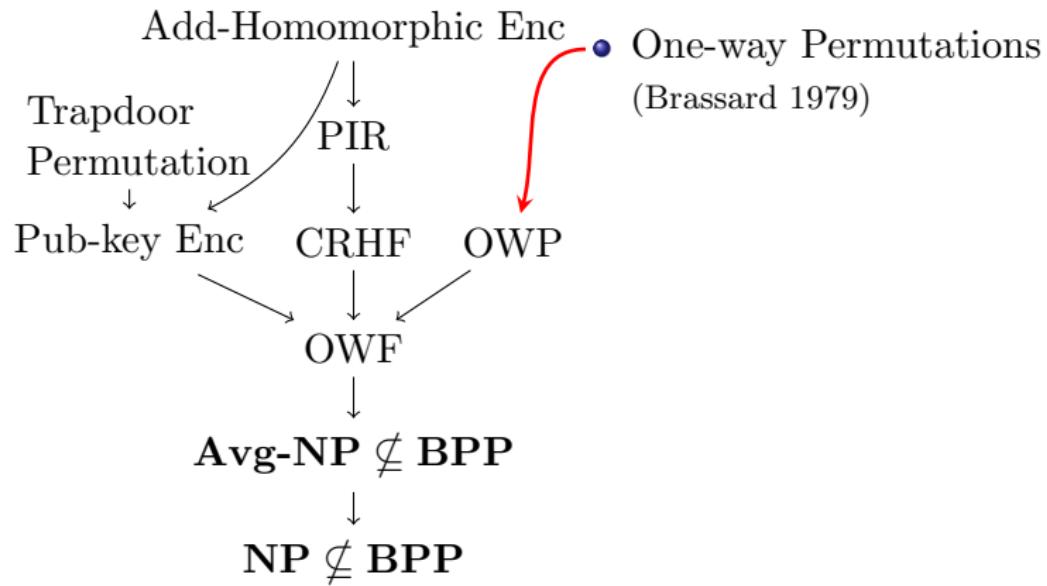
- Note: Black-box security proof but allow arbitrary construction.

Impossibility Results

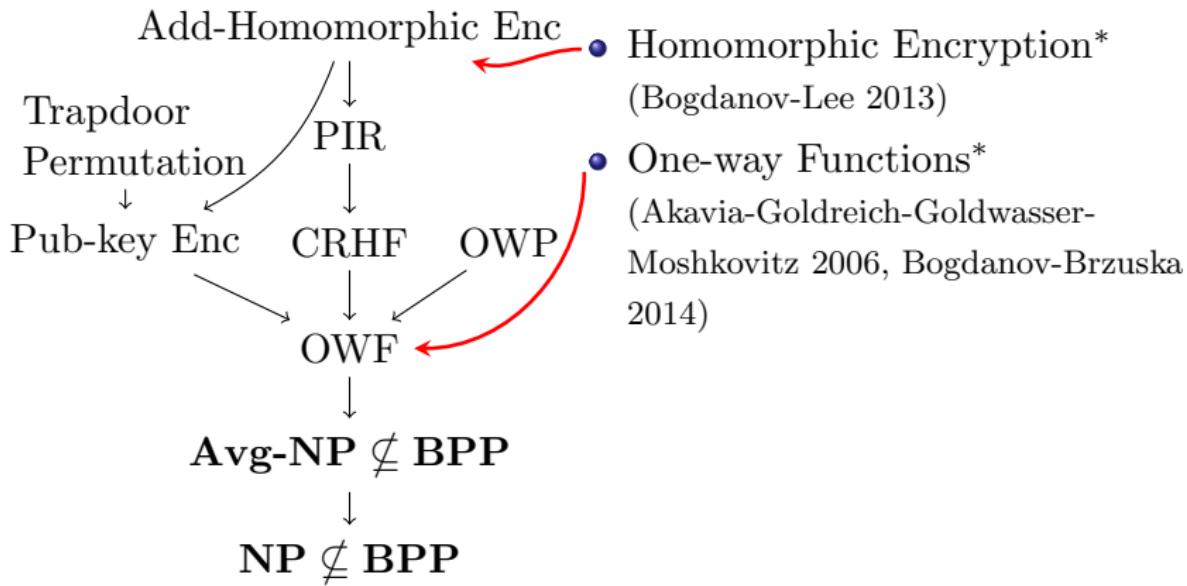


- No known cryptographic scheme based on $\mathbf{NP} \not\subseteq \mathbf{BPP}$.
- Several negative results* (Brassard 1979, ...)

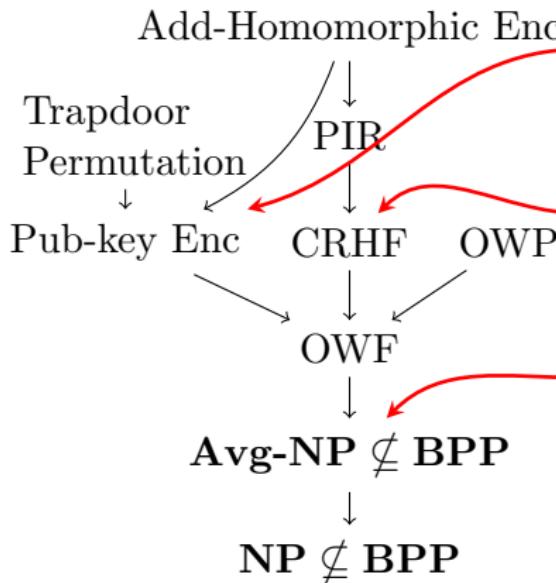
Impossibility Results



Impossibility Results (restricting the primitives)

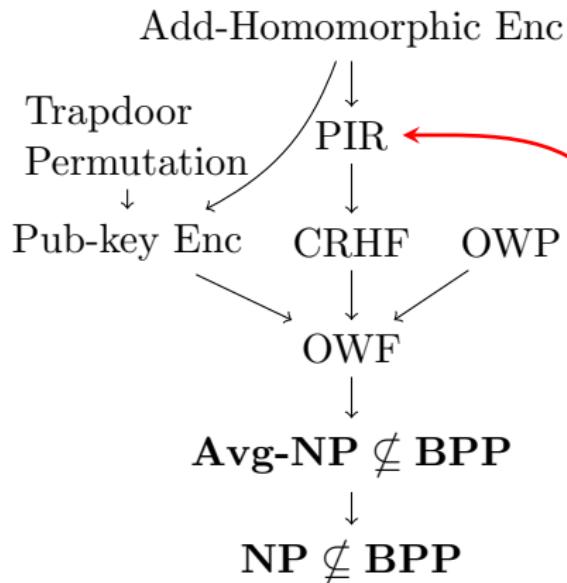


Impossibility Results (restricting the reductions)



- Public-key Encryption Scheme, via “smart” reduction
(Goldreich-Goldwasser 1998)
- Collision-resistant Hash Functions, via constant-adaptive reduction
(Haitner-Mahmoody-Xiao 2009)
- Average-case NP, via non-adaptive reduction
(Bogdanov-Trevisan 2006)

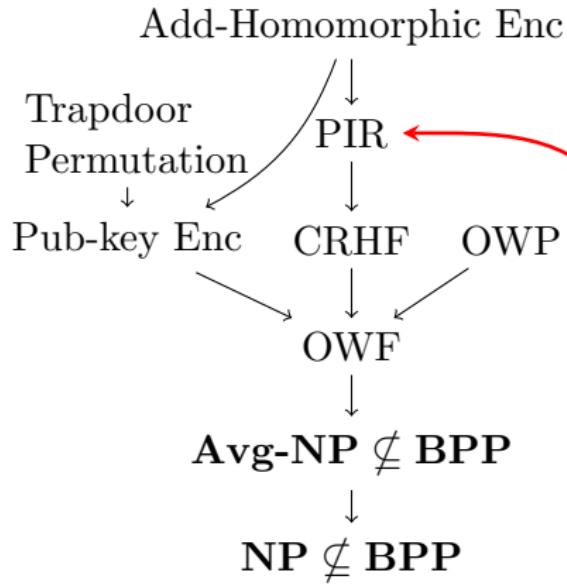
Our Result: Private Information Retrieval [CGKS95, KO97]



Theorem (Informal)

Let Π be a single-server one-round PIR scheme. Security of Π can not be based on NP-hardness unless polynomial hierarchy collapses.

Our Result: Private Information Retrieval [CGKS95, KO97]



Theorem (Informal)

Let Π be a single-server one-round PIR scheme. Security of Π can not be based on NP-hardness unless polynomial hierarchy collapses.

- Rule out approximately correct PIR.
- Rule out PIR with communication complexity $n - o(n)$.

Proof Overview

Lemma 1 (Single-server one-round) PIR can be broken with an SZK oracle

Lemma 2 Language $L \in \mathbf{BPP}^{\mathbf{SZK}} \implies L \in \mathbf{AM} \cap \mathbf{coAM}$
(Mahmoody & Xiao, 2010)

Thus: if there is a reduction from SAT to breaking PIR, then $SAT \in \mathbf{AM} \cap \mathbf{coAM}$.

Lemma 3 $\mathbf{NP} \not\subseteq \mathbf{coAM}$ unless polynomial hierarchy collapses
(Boppana, Hästad & Zachos, 1987)

Thus: if there is a reduction from SAT to breaking PIR, then polynomial hierarchy collapses.

Proof Overview

Lemma 1 (Single-server one-round) PIR can be broken with an SZK oracle

Lemma 2 Language $L \in \text{BPP}^{\text{SZK}} \implies L \in \text{AM} \cap \text{coAM}$
(Mahmoody & Xiao, 2010)

Thus: if there is a reduction from SAT to breaking PIR, then $\text{SAT} \in \text{AM} \cap \text{coAM}$.

Lemma 3 $\text{NP} \not\subseteq \text{coAM}$ unless polynomial hierarchy collapses
(Boppana, Hästad & Zachos, 1987)

Thus: if there is a reduction from SAT to breaking PIR, then polynomial hierarchy collapses.

Proof Overview

Lemma 1 (Single-server one-round) PIR can be broken with an SZK oracle

Lemma 2 Language $L \in \mathbf{BPP}^{\mathbf{SZK}} \implies L \in \mathbf{AM} \cap \mathbf{coAM}$
(Mahmood & Xiao, 2010)

Thus: if there is a reduction from SAT to breaking PIR, then $SAT \in \mathbf{AM} \cap \mathbf{coAM}$.

Lemma 3 $\mathbf{NP} \not\subseteq \mathbf{coAM}$ unless polynomial hierarchy collapses
(Boppana, Hästad & Zachos, 1987)

Thus: if there is a reduction from SAT to breaking PIR, then polynomial hierarchy collapses.

Proof Overview

Lemma 1 (Single-server one-round) PIR can be broken with an SZK oracle

Lemma 2 Language $L \in \mathbf{BPP}^{\mathbf{SZK}} \implies L \in \mathbf{AM} \cap \mathbf{coAM}$
(Mahmood & Xiao, 2010)

Thus: if there is a reduction from SAT to breaking PIR, then $SAT \in \mathbf{AM} \cap \mathbf{coAM}$.

Lemma 3 $\mathbf{NP} \not\subseteq \mathbf{coAM}$ unless polynomial hierarchy collapses
(Boppana, Hästad & Zachos, 1987)

Thus: if there is a reduction from SAT to breaking PIR, then polynomial hierarchy collapses.

Proof Overview

Lemma 1 (Single-server one-round) PIR can be broken with an SZK oracle

Lemma 2 Language $L \in \mathbf{BPP}^{\mathbf{SZK}} \implies L \in \mathbf{AM} \cap \mathbf{coAM}$
(Mahmood & Xiao, 2010)

Thus: if there is a reduction from SAT to breaking PIR, then $SAT \in \mathbf{AM} \cap \mathbf{coAM}$.

Lemma 3 $\mathbf{NP} \not\subseteq \mathbf{coAM}$ unless polynomial hierarchy collapses
(Boppana, Håstad & Zachos, 1987)

Thus: if there is a reduction from SAT to breaking PIR, then polynomial hierarchy collapses.

Proof Overview

Lemma 1 (Single-server one-round) PIR can be broken with an SZK oracle

Lemma 2 Language $L \in \mathbf{BPP}^{\mathbf{SZK}} \implies L \in \mathbf{AM} \cap \mathbf{coAM}$
(Mahmood & Xiao, 2010)

Thus: if there is a reduction from SAT to breaking PIR, then $SAT \in \mathbf{AM} \cap \mathbf{coAM}$.

Lemma 3 $\mathbf{NP} \not\subseteq \mathbf{coAM}$ unless polynomial hierarchy collapses
(Boppana, Håstad & Zachos, 1987)

Thus: if there is a reduction from SAT to breaking PIR, then polynomial hierarchy collapses.

Proof Overview

Lemma 1 (Single-server one-round) PIR can be broken with an SZK oracle

Lemma 2 Language $L \in \mathbf{BPP}^{\mathbf{SZK}} \implies L \in \mathbf{AM} \cap \mathbf{coAM}$
(Mahmood & Xiao, 2010)

Thus: if there is a reduction from SAT to breaking PIR, then $SAT \in \mathbf{AM} \cap \mathbf{coAM}$.

Lemma 3 $\mathbf{NP} \not\subseteq \mathbf{coAM}$ unless polynomial hierarchy collapses
(Boppana, Håstad & Zachos, 1987)

Thus: if there is a reduction from SAT to breaking PIR, then polynomial hierarchy collapses.

Proof Overview

Lemma 1 (Single-server one-round) PIR can be broken with an SZK oracle

Lemma 2 Language $L \in \text{BPP}^{\text{SZK}} \implies L \in \text{AM} \cap \text{coAM}$
(Mahmood & Xiao, 2010)

Thus: if there is a reduction from SAT to breaking PIR, then $\text{SAT} \in \text{AM} \cap \text{coAM}$.

Lemma 3 $\text{NP} \not\subseteq \text{coAM}$ unless polynomial hierarchy collapses
(Boppana, Håstad & Zachos, 1987)

Thus: if there is a reduction from SAT to breaking PIR, then polynomial hierarchy collapses.

Single-server *One-round* Private Information Retrieval

Client

One Server

- Index $i \in \{1, \dots, n\}$

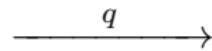
- Data $\mathbf{x} \in \{0, 1\}^n$

Single-server *One-round* Private Information Retrieval

Client

One Server

- Index $i \in \{1, \dots, n\}$
- Client send a query



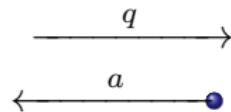
- Data $\mathbf{x} \in \{0, 1\}^n$

Single-server *One-round* Private Information Retrieval

Client

One Server

- Index $i \in \{1, \dots, n\}$
- Client send a query



- Data $\mathbf{x} \in \{0, 1\}^n$

- Server answer

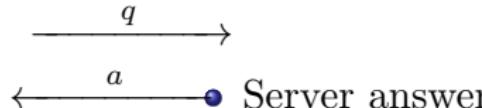
Single-server *One-round* Private Information Retrieval

Client

One Server

- Index $i \in \{1, \dots, n\}$

- Client send a query



- Correctness:

The client learns x_i

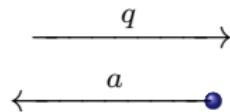
Single-server *One-round* Private Information Retrieval

Client

One Server

- Index $i \in \{1, \dots, n\}$

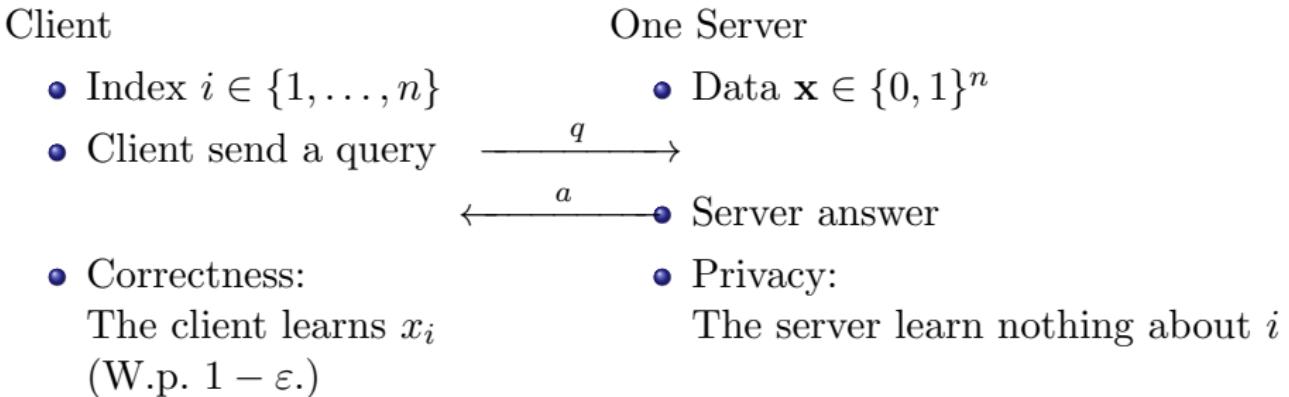
- Client send a query



- Correctness:

The client learns x_i
(W.p. $1 - \varepsilon.$)

Single-server *One-round* Private Information Retrieval



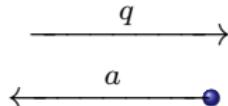
Single-server *One-round* Private Information Retrieval

Client

One Server

- Index $i \in \{1, \dots, n\}$

- Client send a query



- Data $\mathbf{x} \in \{0, 1\}^n$

- Server answer

- Privacy:

The server learn nothing about i

- Correctness:

The client learns x_i
(W.p. $1 - \varepsilon$)

An Oracle Breaking Single-server One-round PIR

Given a query q , guess the index with probability $> 1/n + 1/\text{poly}$.

Break PIR with SZK oracle (Lemma 1)

Client

- Index $i \in \{1, \dots, n\}$
- Generate a query \xrightarrow{q}

Break PIR with SZK oracle (Lemma 1)

Client

Server

- Index $i \in \{1, \dots, n\}$
- Random $\mathbf{x} \in \{0, 1\}^n$
- Generate a query \xrightarrow{q}

Break PIR with SZK oracle (Lemma 1)

Client

Server

- Index $i \in \{1, \dots, n\}$
 - Generate a query \xrightarrow{q}
- \xleftarrow{a} • Server answers

Break PIR with SZK oracle (Lemma 1)

Client

Server

- Index $i \in \{1, \dots, n\}$
- Generate a query \xrightarrow{q}
- Random $\mathbf{x} \in \{0, 1\}^n$
- Server answers \xleftarrow{a}
- **Claim 1:** $I(x_i; a)$ is big*.

*The randomness is from \mathbf{x} and from the procedure generating the answer.

Break PIR with SZK oracle (Lemma 1)

Client

Server

- Index $i \in \{1, \dots, n\}$
- Generate a query \xrightarrow{q}
- Random $\mathbf{x} \in \{0, 1\}^n$
- **Claim 1:** $I(x_i; a)$ is big*.



Proof: Correctness. \square

*The randomness is from \mathbf{x} and from the procedure generating the answer.

Break PIR with SZK oracle (Lemma 1)

Client

Server

- Index $i \in \{1, \dots, n\}$
- Generate a query \xrightarrow{q}
- Random $\mathbf{x} \in \{0, 1\}^n$
- Server answers \xleftarrow{a}

- **Claim 1:** $I(x_i; a) = 1$ assuming perfect correctness

Proof: Correctness. \square

*The randomness is from \mathbf{x} and from the procedure generating the answer.

Break PIR with SZK oracle (Lemma 1)

Client

Server

- Index $i \in \{1, \dots, n\}$
- Generate a query \xrightarrow{q}
- Random $\mathbf{x} \in \{0, 1\}^n$
- Server answers \xleftarrow{a}

- **Claim 1:** $I(x_i; a) = 1$ assuming perfect correctness

Proof: Correctness. \square

- **Claim 2:** $\sum_{j=1}^n I(x_j; a) \leq H(a) \leq |a|.$

*The randomness is from \mathbf{x} and from the procedure generating the answer.

Break PIR with SZK oracle (Lemma 1)

Client

Server

- Index $i \in \{1, \dots, n\}$
- Generate a query \xrightarrow{q}
- Random $\mathbf{x} \in \{0, 1\}^n$
- Server answers \xleftarrow{a}

- **Claim 1:** $I(x_i; a) = 1$ assuming perfect correctness

Proof: Correctness. \square

- **Claim 2:** $\sum_{j=1}^n I(x_j; a) \leq H(a) \leq |a|$.

Proof: As x_1, \dots, x_n are independent. \square

*The randomness is from \mathbf{x} and from the procedure generating the answer.

Break PIR with SZK oracle (Lemma 1)

Client

Server

- Index $i \in \{1, \dots, n\}$
- Generate a query \xrightarrow{q}
- Random $\mathbf{x} \in \{0, 1\}^n$
- Server answers \xleftarrow{a}

- **Claim 1:** $I(x_i; a) = 1$ assuming perfect correctness

Proof: Correctness. \square

- **Claim 2:** $\sum_{j=1}^n I(x_j; a) \leq H(a) \leq |a|$.

Proof: As x_1, \dots, x_n are independent. \square

- **Corollary:** $\sum_{j=1}^n I(x_j; a)$ is small.

*The randomness is from \mathbf{x} and from the procedure generating the answer.

Idea: Reduce Breaking PIR to Estimating Entropy

Given a query q , guess the index

- **Claim 1:** $I(x_i; a) = 1$ assuming perfect correctness
- **Claim 2:** $\sum_{j=1}^n I(x_j; a) \leq H(a) \leq |a|.$

Idea: Reduce Breaking PIR to Estimating Entropy

Given a query q , guess the index

- Emulate how the server answer q when $\mathbf{x} \in \{0, 1\}^n$ is random
Estimate $I(x_j; a)$ for each $j \in \{1, \dots, n\}$ using SZK oracle
(on next slide)

- **Claim 1:** $I(x_i; a) = 1$ assuming perfect correctness
- **Claim 2:** $\sum_{j=1}^n I(x_j; a) \leq H(a) \leq |a|.$

Idea: Reduce Breaking PIR to Estimating Entropy

Given a query q , guess the index

- Emulate how the server answer q when $\mathbf{x} \in \{0, 1\}^n$ is random
Estimate $I(x_j; a)$ for each $j \in \{1, \dots, n\}$ using SZK oracle
(on next slide)
- Output a random i' w.p. $\frac{I(x_{i'}; a)}{\sum_{j=1}^n I(x_j; a)}$

- **Claim 1:** $I(x_i; a) = 1$ assuming perfect correctness
- **Claim 2:** $\sum_{j=1}^n I(x_j; a) \leq H(a) \leq |a|$.

Idea: Reduce Breaking PIR to Estimating Entropy

Given a query q , guess the index

- Emulate how the server answer q when $\mathbf{x} \in \{0, 1\}^n$ is random
Estimate $I(x_j; a)$ for each $j \in \{1, \dots, n\}$ using SZK oracle
(on next slide)
 - Output a random i' w.p. $\frac{I(x_{i'}; a)}{\sum_{j=1}^n I(x_j; a)}$
 - Guess correctly w.p. $\geq \frac{1}{|a|}$
-
- **Claim 1:** $I(x_i; a) = 1$ assuming perfect correctness
 - **Claim 2:** $\sum_{j=1}^n I(x_j; a) \leq H(a) \leq |a|.$

Idea: Reduce Breaking PIR to Estimating Entropy

Given a query q , guess the index

- Emulate how the server answer q when $\mathbf{x} \in \{0, 1\}^n$ is random
 - Estimate $I(x_j; a)$ for each $j \in \{1, \dots, n\}$ using SZK oracle (on next slide)
 - Output a random i' w.p. $\frac{I(x_{i'}; a)}{\sum_{j=1}^n I(x_j; a)}$
 - Guess correctly w.p. $\geq \frac{1 - h(\varepsilon)}{|a|}$
-
- **Claim 1:** $\mathbb{E}_q[I(x_i; a)] \geq 1 - h(\varepsilon)$ assuming correctness w.h.p.
 - **Claim 2:** $\sum_{j=1}^n I(x_j; a) \leq H(a) \leq |a|$.

Mutual Information and SZK

- Mutual information

$$I(x_i; a) = H(x_i) + H(a) - H(x_i, a) = H(x_i) - H(x_i|a)$$

- Entropy Approximation is in **SZK**:

- Given a circuit generating a distribution D , and h
- To distinguish between $H(D) \geq h + \frac{1}{\text{poly}}$ and $H(D) \leq h - \frac{1}{\text{poly}}$
- Can estimate entropy given an SZK oracle

Mutual Information and SZK

- Mutual information

$$I(x_i; a) = H(x_i) + H(a) - H(x_i, a) = H(x_i) - H(x_i|a)$$

- Entropy Approximation is in **SZK**:

- Given a circuit generating a distribution D , and h
- To distinguish between $H(D) \geq h + \frac{1}{\text{poly}}$ and $H(D) \leq h - \frac{1}{\text{poly}}$
- Can estimate entropy given an SZK oracle

Mutual Information and SZK

- Mutual information

$$I(x_i; a) = H(x_i) + H(a) - H(x_i, a) = H(x_i) - H(x_i|a)$$

- Entropy Approximation is in **SZK**:

- Given a circuit generating a distribution D , and h
- To distinguish between $H(D) \geq h + \frac{1}{\text{poly}}$ and $H(D) \leq h - \frac{1}{\text{poly}}$
- Can estimate entropy given an SZK oracle

Mutual Information and SZK

- Mutual information

$$I(x_i; a) = H(x_i) + H(a) - H(x_i, a) = H(x_i) - H(x_i|a)$$

- Entropy Approximation is in **SZK**:

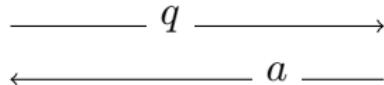
- Given a circuit generating a distribution D , and h
- To distinguish between $H(D) \geq h + \frac{1}{\text{poly}}$ and $H(D) \leq h - \frac{1}{\text{poly}}$
- Can estimate entropy given an SZK oracle

Client

i , index

Server

data \mathbf{x} , random tape



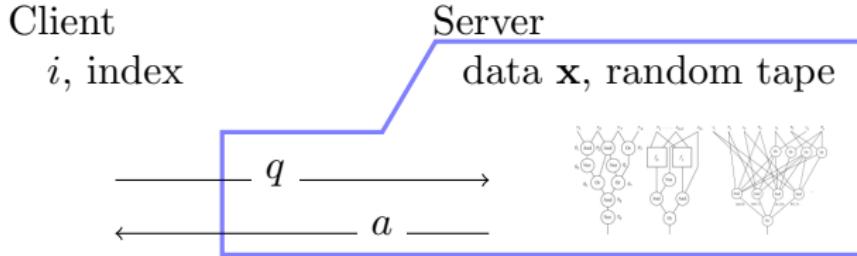
Mutual Information and SZK

- Mutual information

$$I(x_i; a) = H(x_i) + H(a) - H(x_i, a) = H(x_i) - H(x_i|a)$$

- Entropy Approximation is in **SZK**:

- Given a circuit generating a distribution D , and h
- To distinguish between $H(D) \geq h + \frac{1}{\text{poly}}$ and $H(D) \leq h - \frac{1}{\text{poly}}$
- Can estimate entropy given an SZK oracle



Mutual Information and SZK

- Mutual information

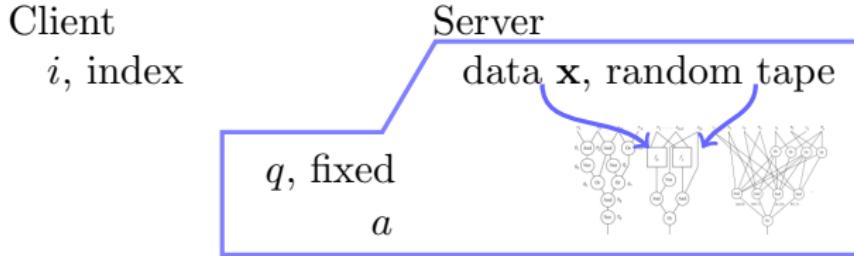
$$I(x_i; a) = H(x_i) + H(a) - H(x_i, a) = H(x_i) - H(x_i|a)$$

- Entropy Approximation is in **SZK**:

- Given a circuit generating a distribution D , and h

- To distinguish between $H(D) \geq h + \frac{1}{\text{poly}}$ and $H(D) \leq h - \frac{1}{\text{poly}}$

- Can estimate entropy given an SZK oracle



Recall Proof Overview

Lemma 1 (Single-server one-round) PIR can be broken with an SZK oracle

Lemma 2 Language $L \in \mathbf{BPP}^{\mathbf{SZK}} \implies L \in \mathbf{AM} \cap \mathbf{coAM}$
(Mahmood & Xiao, 2010)

Thus: if there is a reduction from SAT to breaking PIR, then $SAT \in \mathbf{AM} \cap \mathbf{coAM}$.

Lemma 3 $\mathbf{NP} \not\subseteq \mathbf{coAM}$ unless polynomial hierarchy collapses
(Boppana, Håstad & Zachos, 1987)

Thus: if there is a reduction from SAT to breaking PIR, then polynomial hierarchy collapses.

Open problem: Multiple-round

Multiple-round PIR

- Could we rule out multiple-round PIR?

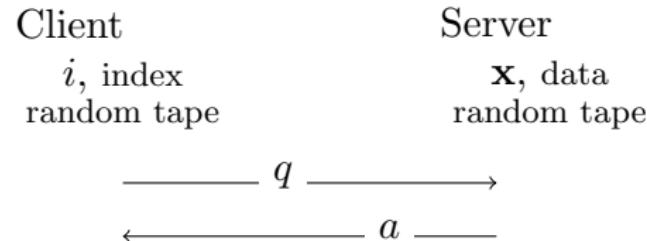
One-round PIR

Open problem: Multiple-round

Multiple-round PIR

- Could we rule out multiple-round PIR?

One-round PIR



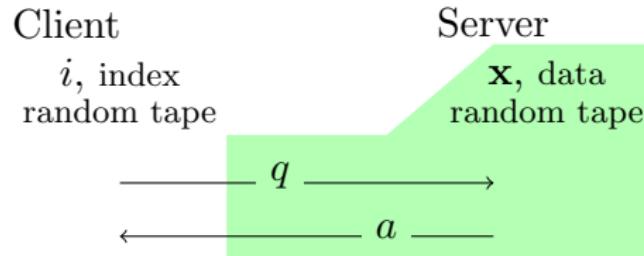
Open problem: Multiple-round

Multiple-round PIR

- Could we rule out multiple-round PIR?

One-round PIR

- Given the view of server, it's easy to generate another view.



Open problem: Multiple-round

Multiple-round PIR

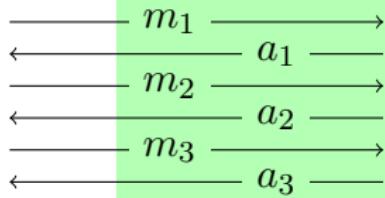
- Could we rule out multiple-round PIR?

Client

i , index
random tape

Server

\mathbf{x} , data
random tape



One-round PIR

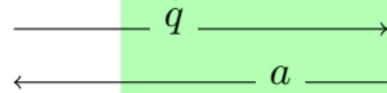
- Given the view of server, it's easy to generate another view.

Client

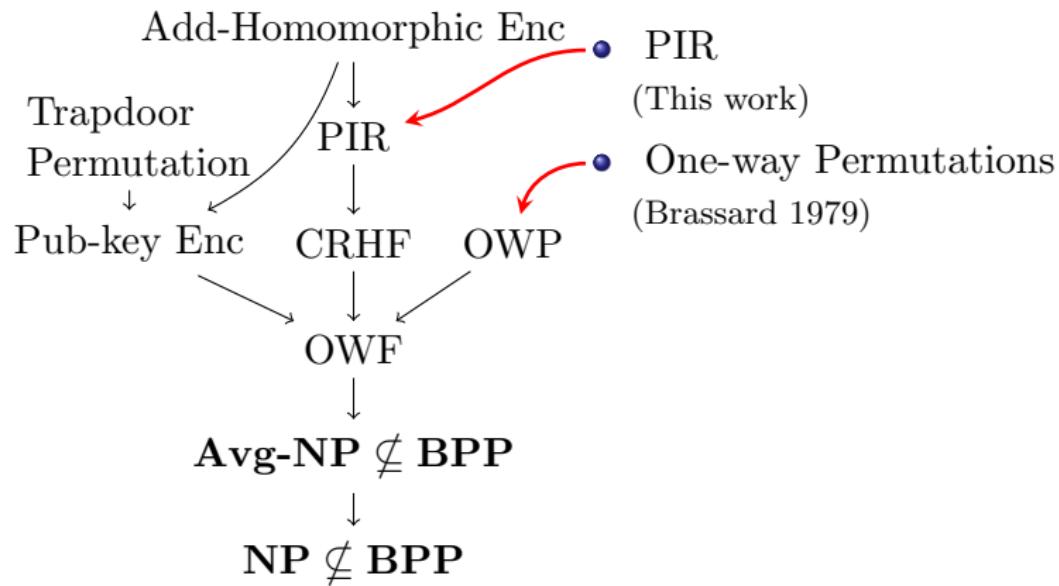
i , index
random tape

Server

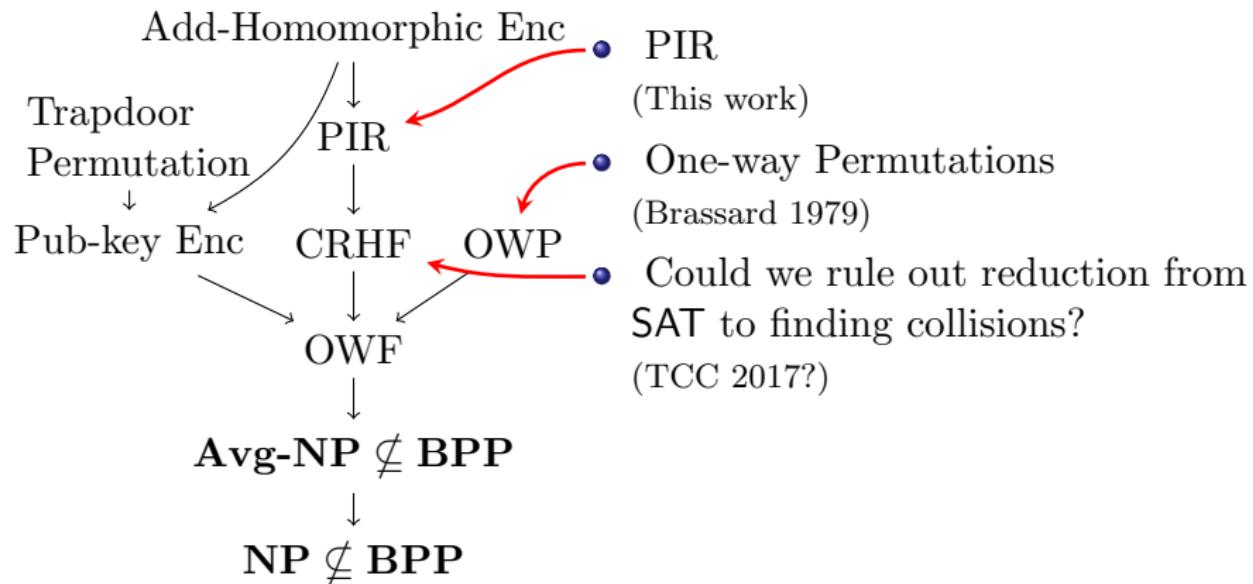
\mathbf{x} , data
random tape



Open problem: CRHF



Open problem: CRHF



Thank you!